

**Statement for Certified High Net Worth Individual**

I hereby declare that I am a certified high net worth individual for the purposes of the Financial Services and Markets Act 2000 (Financial Promotion) Order 2001.

I understand that this means:

- (a) I can receive financial promotions that may not have been approved by a person authorised by the Financial Services Authority.
- (b) The content of such financial promotions may not conform to rules issued by the Financial Services Authority.
- (c) **By signing this statement I may lose significant rights;**
- (d) I may have no right to complain to either the Financial Services Authority or the Financial Ombudsman Scheme.
- (e) I may have no right to seek compensation from the Financial Services Compensation Scheme.

I am a certified high net worth individual because **at least one of the following applies:-**

- (a) I had, during the financial year immediately preceding the date below, net assets to the value of £250,000 or more. Net assets for these purposes do not include:\_
  - (i) the property which is my primary residence or any loan secured on that residence;
  - (ii) any rights of mine under a qualifying contract of insurance within the meaning of the Financial Services and Markets Act 2000 (Regulated Activities) Order 2001; or
  - (iii) any benefits (in the form of pensions or otherwise) which are payable on the termination of my services or on my death or retirement and to which I am (or my dependents are), or may be, entitled.

**I accept that I can lose my property and other assets from making investment decisions based on financial promotions.**

I am aware that it is open to me to seek advice from someone who specialises in advising on investments.

Name.....

Date.....

Signature.....