

Statement for Self-certified Sophisticated Investor

I declare that I am a self-certified sophisticated investor for the purposes of the Financial Services and Markets Act (Financial Promotion) Order 2001

I understand that this means:

- (a) **I can receive financial promotions that may not have been approved by a person authorised by the Financial Services Authority.**
- (b) **The content of such financial promotions may not conform to rules issued by the Financial Services Authority.**
- (c) **By signing this statement I may lose significant rights;**
- (d) **I may have no right to complain either to either the Financial Services Authority or the Financial Ombudsman Scheme.**
- (e) **I may have no rights to seek compensation from the financial Services Compensation Scheme.**

I am a self-certified sophisticated investor because **at least one of the following applies:**

- (a) **I am a member of a network or syndicate of business angels and have been so for at least the last six months prior to the date below;**
- (b) **I have made more than one investment in an unlisted company in the two years prior to the date below;**
- (c) **I am working, or have worked in the two years prior to the date below, in a professional capacity in the private equity sector, or in the provision of finance for small and medium enterprises;**
- (d) **I am currently, or have been in the two years prior to the date below, a director of a company with an annual turnover of at least £1 million.**

I accept that I can lose my property and other assets from making investment decisions based on financial promotions.

I am aware that it is open to me to seek advice from someone who specialises in advising on investments.

Name.....

Date.....

Signature.....